FinClear
Your competitive advantage

Broker to Broker - Transfer In

Please complete this form if you wish to transfer securities from another sponsoring broker to FinClear Execution Ltd (FinEx).

FinClear Account No. _____ HIN _____
Holder Identification number

Details Required for Broker to Broker

For your transfer to be successful, your registration details (i.e. your name and address) on this form must agree with the details on your account with FinEx. If not, you will need to advise your existing Sponsoring Broker of any changes before we can process this transfer. Please ensure that the details supplied below match the details on your latest CHESS Holding Statement.

Details at existing Sponsoring Broker

Registered Name _____

Account Designation or Trust _____
(If applicable)

Registered Address _____

State _____ Postcode _____ Country _____

Name of existing Broker _____ HIN at existing Broker _____

Existing Broker Account No. _____ Existing Broker PID _____

Please select one option ☐ Please transfer whole HIN (all holdings) from existing Broker to FinClear Execution Ltd (PID 3556) ☐ Please transfer the selected securities and quantities as detailed below to FinClear Execution Ltd (PID 3556)

Securities to be Transferred (Attach a separate sheet if additional securities are required to be transferred)

Security Code	Security Name (e.g BHP Limited)	Quantity (No. of Shares)

Acknowledgements I/We authorise and direct my/our sponsoring Broker to transfer my/our exisitng HIN and all holdings or the above listed holdings in accordance with the ASX Settlement Operating Rules to FinClear Execution Ltd. We agree to be bound by FinEx's CHESS Sponsorship Agreement. Signature of Client/Security Holders requesting transfer to be completed below (all security holders must sign) :

Individual (1) / Director (1) Full name _____ Signature _____ Date _____

Individual (2) / Director (2) Full name _____ Signature _____ Date _____

Individual (3) / Director (3) Full name _____ Signature _____ Date _____