

Please complete this form if you wish to transfer securities from another sponsoring broker to FinClear Execution Ltd (FinEx).

FinClear Account No.

HIN

Holder Identification number

## Details Required for Broker to Broker

For your transfer to be successful, your registration details (i.e. your name and address) on this form must agree with the details on your account with FinEx. If not, you will need to advise your existing Sponsoring Broker of any changes before we can process this transfer. Please ensure that the details supplied below match the details on your latest CHESS Holding Statement.

## Details at existing Sponsoring Broker

Registered Name

Account

Designation or Trust  
(If applicable)

Registered Address

State

Postcode

Country

Name of existing Broker

HIN at existing Broker

Existing Broker Account No.

Existing Broker PID

Please select one option

Please transfer whole HIN (all holdings) from existing Broker to FinClear Execution Ltd (PID 3556)

Please transfer the selected securities and quantities as detailed below to FinClear Execution Ltd (PID 3556)

**Securities to be Transferred** (Attach a separate sheet if additional securities are required to be transferred)

Security Code	Security Name (e.g BHP Limited)	Quantity (No. of Shares)
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**Acknowledgements** I/We authorise and direct my/our sponsoring Broker to transfer my/our existing HIN and all holdings or the above listed holdings in accordance with the ASX Settlement Operating Rules to FinClear Execution Ltd. We agree to be bound by FinEx's CHESS Sponsorship Agreement. Signature of Client/Security Holders requesting transfer to be completed below (all security holders must sign) :

Individual (1) /

Director (1) Full name

Signature

Date

Individual (2) /

Director (2) Full name

Signature

Date

Individual (3) /

Director (3) Full name

Signature

Date