

# International Account Opening Form

For Accounts with a pre-existing FinClear sponsored account  
(AU Residents Only)

Financial Service Provider

Account Number

HIN

## Applicant 1

Applicant Name

Date of Birth

Mobile Number +61

Street Address

Suburb/Town

State

Postcode

## Applicant 2

Applicant Name

Date of Birth

Mobile Number +61

Street Address

Suburb/Town

State

Postcode

## Applicant 3

Applicant Name

Date of Birth

Mobile Number +61

Street Address

Suburb/Town

State

Postcode

\*all fields are mandatory per applicant

# International Account Opening Form



I/We acknowledge that my/our duly appointed Financial Service Provider (FSP) has engaged [FinClear Execution Ltd, ABN 56 061 751 102, AFSL No 246842] (FinClear) to arrange for Phillip Capital Limited (PCL) to provide execution and settlement services for international equities, and agree that PCL will only ever receive instructions on my/our account from FinClear.

I/we confirm that FinClear is authorised to receive instructions from our FSP and to pass those instructions on to PCL to acquire, buy, deal with and dispose of, or sell any international equities; to amend any static data in relation to my/our account and to provide instructions on the acceptance, disposal or otherwise of financial products as a result of corporate actions.

For the settlement of my international transactions I agree to be bound by Phillip Capital's Terms and Conditions and Direct Debit terms and conditions:

<https://www.phillipcapital.com.au/files/PCAU/IBClientTermsConditions.pdf>

<https://www.phillipcapital.com.au/files/PCAU/DirectDebitRequest.pdf>

W8-Ben forms will need to be completed regardless of whether the beneficiary is taking a position in a US Stock via the link below:

[https://www.phillipcapital.com.au/files/PCAU/PhillipCapital\\_W-8BEN\\_Individuals.pdf](https://www.phillipcapital.com.au/files/PCAU/PhillipCapital_W-8BEN_Individuals.pdf)

[https://www.phillipcapital.com.au/files/PCAU/PhillipCapital\\_W-8BEN-E\\_Company.pdf](https://www.phillipcapital.com.au/files/PCAU/PhillipCapital_W-8BEN-E_Company.pdf)

By providing a TFN and signing this Application Form you:

- a) appoint FinClear as your agent and request and authorise FinClear to;
  - (i) provide your TFN to all investment bodies with whom FinClear acts on your behalf;
  - (ii) apply your TFN to any investment or account which you may in future make or open with or through FinClear (and their related bodies corporate) to which your TFN may lawfully be applied; and
- b) acknowledge that this authority will apply until such time as it is revoked in writing to FinClear.

Collection of TFN FinClear as a financial services provider is authorised to request your TFN. We are collecting this information to pass on to the third party we have engaged to conduct your international equities transaction, to ensure that you are taxed at your appropriate tax rate. It is not an offence if you don't provide your TFN but failure to do so might result in you being subject to a higher rate of withholding tax.

By signing the below, the Client acknowledges and that they have provided the FSP with all relevant customer identification documents that are required to positively identify them.

## INDIVIDUAL CLIENTS TO COMPLETE

Individual (1) full name	Signature	Date
Individual (2) full name	Signature	Date
Individual (3) full name	Signature	Date
In the presence, of Name of Witness	Signature	Date

## DECLARATION

By signing this application form, you agree, represent and warrant that you:

- Agree to be bound by the terms and conditions contained within the application.
- Are over the age of 18 years and not of any legal disability

This form in addition to a completed Direct Debit Request and W8-BEN form should be submitted to [accountservices@finclear.com.au](mailto:accountservices@finclear.com.au)

\*Please note provision of a completed W8-BEN form is a mandatory requirement regardless as to whether the beneficiary is taking a position in a US stock.

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