



FinEx

Authorised Persons Form

Financial Intermediary Name:	
AFSL No:	

1. Authority for Establishment and Maintenance of Client Accounts

The following persons are authorised to provide instructions to FinClear Execution Ltd (**FinEx**) in relation to the establishment and maintenance of all client accounts. Any restrictions on this authority is detailed in the 'Limitations' column:

Name	Position	Contact Number	Email Address	Limitation on Authorisation (if any)

If there are any changes to the above list, please send an email to equityops@finclear.com.au

2. Authorised Order Givers

The following persons are authorised to provide instructions to FinEx to place, amend and cancel any orders for any account under the above Financial Intermediary in the Australian cash market which includes equities, interest rate securities, warrants, Exchange Traded Funds (ETFs) and listed government bonds. Any restrictions on this authority is detailed in the 'Limitations' column.

Name	Position	Contact Number	Email Address	Limitation on Authorisation (if any)

If there are any changes to the above list please send an email to stockbroking@finclear.com.au

FinEx may place additional restrictions on an Authorised Order Giver at its discretion. For persons authorised with direct market access (**DMA Access**) via Iress IOS or Dion Trade Centre please complete the FinEx New DION / Iress IOS User form.

TO BE COMPLETED BY A DIRECTOR OF THE FINANCIAL INTEREMEDIARY OR THEIR DULY AUTHORISED AGENT

I confirm that the above persons have been duly authorised to act on behalf of the Financial Intermediary. I further confirm that FinEx may rely on the accuracy of the above authorisations unless it has been notified in writing of any changes.

Signature:

Name:

Title:

Date:
